

Docket No. 273185US0PCT



JC02 Rec'd PCT/PTO 02 SEP 2005 PCT

MAIL STOP PCT #3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Tatsuya SAKAI, et al.

SERIAL NO: 10/537,484

GAU:

FILED: June 3, 2005

EXAMINER:

FOR: RUTHENIUM COMPOUND AND PROCESS FOR PRODUCING METALLIC RUTHENIUM FILM

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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MAIER & NEUSTADT, P.C.

Norman F. Oblon

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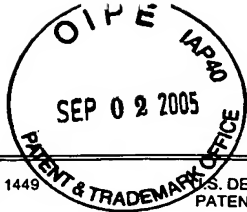
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Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.
273185US0PCTSERIAL NO.
10/537,484

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT
Tatsuya SAKAI, et al.FILING DATE
June 3, 2005

GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AL	2002-212112	07/31/02	JP(with English abstract)		NO
	AM	11-35589	02/09/99	JP(with English abstract and equivalent of US 6,207,232)		NO
	AN	2002-231656	08/16/02	JP(with English abstract and equivalent of US 2002/0102826)		NO
	AO	2002-145892	05/22/02	JP(with English abstract and equivalent of US 2002/0064948)		NO
	AP	0 717 451	06/19/96	EP		NO
	AQ	11-340435	12/10/99	JP(with English abstract)		NO
	AR	2002-161367	06/04/02	JP(with English abstract and equivalent of US 6,440,495)		NO
	AS	2002-523634	07/30/02	JP(with English abstract and equivalent of US 6,063,705)		NO
	AT	2002-69639	03/08/02	JP(with English abstract and equivalent of US 2002-0055001)		NO
	AU	6-283438	10/07/94	JP(with English abstract)		NO
	AV	2002-114795	04/16/02	JP(with English abstract and equivalent of US 6,420,582)		NO

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	

☐ Additional References sheet(s) attached

Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

U.S. PCT Application Serial No.: 10/537,484
Docket No.: 273185US0PCT

STATEMENT OF RELEVANCY

- 1) References AL-AP have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.
- 2) Reference___ have been cited in the corresponding _____ Search Report. A copy of this reference is being submitted herewith.
- 3) References AQ-AV are discussed in the specification. A copy of these references is being submitted here with.
- 4) Reference _____ is additional prior art known to Applicant. A copy of these references is being submitted herewith.